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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Auberson et al.

Application No.: 10/568,841 Filed: 9/13/2006

Patent No.: Issue Date:

Docket Number: 093286-0122

Entitled: 3H-PHENOXAZINE DERIVATIVES SUITABLE AS NEAR-INFRARED IMAGING AGENTS, PREPARATION AND USE THEREOF

NOVARTIS AG

(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

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- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel/Frame 022665/0736 or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/Paul D. Strain/

11/20/09

Signature

Date

Paul D. Strain

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Printed or Typed Name
Attorney for Applicant

Telephone Number

Title

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